


## Procedure for Authorization for processing, recycling and recovery of hazardous waste under Hazardous and Other Wastes (Management & Transboundary Movement) Rules, 2016

The rule 6 of the Hazardous and Other Wastes (Management & Transboundary Movement) Rules, 2016 and amendment thereto shall be required to make an application in Form 1 to the State Pollution Control Board and obtain an authorisation from the State Pollution Control Board of Every occupier of the facility who is engaged in handling, generation, collection, storage, packaging, transportation, use, treatment, processing, recycling, recovery, pre-processing, co-processing, utilisation, offering for sale, transfer or disposal of the hazardous and other wastes.


The first step for all the above stakeholders is to create Industry Registration ID with Maharashtra Pollution Control Board through MAITRI (Single Window)

The screenshot displays the Maharashtra Pollution Control Board (MPCB) website interface. At the top, the logo and name 'महाराष्ट्र महाराष्ट्र प्रदूषण नियंत्रण मंडळ' are visible, along with navigation links for 'Applications', 'Dashboard', 'Change Password', 'Industry Documents', and 'Logout'. A central dialog box with an information icon asks 'Are you sure?' and provides instructions: 'From 7th November 2017 onwards all the new users can avail this service only after one time registration on MAITRI PORTAL. Please confirm the same and you would be redirected to MAITRI portal for one time registration. Once you complete the registration on MAITRI PORTAL you can apply for this service from MAITRI portal or login with your credentials from this portal. For detailed guidelines please download the same from this page above.' Two buttons are present: 'No, Keep me on this page' and 'Yes, redirect me to MAITRI portal'. The background shows a sidebar with 'Available Services' including 'MPCB Applications' (Consent Application) and 'Hazardous Waste Applications' (Authorization, Annual Return, Trader registration, Accident report, Filing appeal, Manifest).


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 **REGISTRATION**
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**Name Of The Entity\***

**Type of Constitution\***

Select Constitution

**Applicant's Full Name\***

**Applicant's Designation in Firm\***

Select Designation


**Applicant's PAN**

**Applicants Aadhar (UID)**


**Entity PAN**

**Entity TIN**


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 **LOG IN**


 User Name

 Password



Try another  
Enter the text you see above:

2. Services Provided

 Click on a department name below to view its offered services

[ChangePassword](#)

**Maharashtra Pollution Control Board**

Service Name	Link
1) Consent to establish (under Water Act & Air Act)	<a href="#">Apply</a>
2) Consent to operate (under Water Act & Air Act)	<a href="#">Apply</a>
3) Authorization under Hazardous Waste Rule	<a href="#">Apply</a>
4) Renewal of Consent to operate (under Water Act & Air Act)	<a href="#">Apply</a>
5) Registration for Recycling of Hazardous Waste Rules	<a href="#">Apply</a>
6) Authorization under E-waste Rules, 2011	<a href="#">Apply</a>
7) Registration under Plastic Rules	<a href="#">Apply</a>
8) Authorization for Bio Medical Waste Disposal	<a href="#">Apply</a>

After creation of Registration ID the industry/ unit has to apply for authorization under respective Category with necessary documents mentioned alongwith the application.

<p><b>Consent Application</b></p> <p>Application for consent ( <b>Not applicable for Bio-Medical Waste Generating Units / CBMWTSDF</b> )</p> <p>Application for intimation for white category of Industries</p> <p>Application for amendment in Consent Application</p> <p><b>Hazardous Waste Applications</b></p> <p>Hazardous Waste Authorisation (Form-1)</p> <p>Hazardous Waste Annual Return (Form-4)</p> <p>Hazardous Waste trader registration (Form 7)</p> <p>Hazardous Waste accident report (Form 11)</p> <p>Hazardous Waste filing appeal (Form 12)</p> <p>Manifest for Hazardous and other waste (Form 10)</p> <p>Hazardous Waste Transporter</p> <p><b>Plastic related applications</b></p> <p>Plastic Registration</p> <p>Annual Report by Operator of Plastic Waste processing or recycling facility (Form IV)</p> <p>Annual Report on Plastic Waste Management to be submitted by the Local Body (Form V)</p> <p><b>Bio-medical waste application</b></p> <p>Application for Combined Consent &amp; BMW Authorisation (CCA).</p> <p>BMW Annual Return</p> <p>BMW Covid-19 Records (for CBMWTSDF)</p> <p><b>Bank Guarantee</b></p>	<p><b>Municipal Solid Waste Applications</b></p> <p>MSW Authorization</p> <p>MSW Annual Report</p> <p><b>E-waste applications</b></p> <p>E-Waste authorization (Form-1a)</p> <p>E-Waste annual return (Form 3)</p> <p>E-Waste authorization for dismantlers and recyclers(Form-4)</p> <p><b>Battery applications</b></p> <p>Battery Dealer registration</p> <p>Form for filing returns of sale of new batteries and collection of old batteries, to be submitted by <b>dealers to the Board (Form-V)</b></p> <p>Form for filing half yearly returns of sale of new batteries and collection of used batteries to be submitted by <b>manufacturer/importer/bulk consumer/assembler/re-conditioner. (Form-I)</b></p> <p>Form for filing Annual returns by <b>recyclers of used batteries (Form-VII)</b></p> <p>Form for filing half yearly returns for <b>bulk consumer of batteries (Form-VIII)</b></p> <p>Form for filing half yearly returns by <b>auctioneer of used batteries (Form-IX)</b></p> <p><b>Environment Statement</b></p> <p>Environment Statement(Form - V)</p> <p><b>Water Cess</b></p> <p>Water Cess(Form - I)</p> <p><b>Construction &amp; Demolition Applications</b></p> <p>Construction &amp; Demolition Authorization (Form-I)</p>
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The provisions under Hazardous and Other Wastes (Management & Transboundary Movement) Rules, 2016 for Authorization for processing, recycling and recovery of hazardous waste and the application form and online portal provided by MPCB are as below:

### **Authorization**

The rule 6 of the Hazardous and Other Wastes (Management & Transboundary Movement) Rules, 2016 and amendment thereto shall be required to make an application in Form 1 to the State Pollution Control Board and obtain an authorisation from the State Pollution Control Board of Every occupier of the facility who is engaged

in handling, generation, collection, storage, packaging, transportation, use, treatment, processing, recycling, recovery, pre-processing, co-processing, utilisation, offering for sale, transfer or disposal of the hazardous and other wastes. Such application for authorisation shall be accompanied with a copy each of the following documents, namely:-

(a) consent to establish granted by the State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974 (25 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (21 of 1981);

(b) Consent to operate granted by the State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974 (25 of 1974) and/or Air (Prevention and Control of Pollution) Act, 1981, (21 of 1981);

(c) in case of renewal of authorisation, a self-certified compliance report in respect of effluent, emission standards and the conditions specified in the authorisation for hazardous and other wastes:

Provided that an application for renewal of authorisation may be made three months before the expiry of such authorisation: Provided further that


On receipt of an application complete in all respects for the authorisation, the State Pollution Control Board may, after such inquiry as it considers necessary, and on being satisfied that the applicant possesses appropriate facilities for collection, storage, packaging, transportation, treatment, processing, use, destruction, recycling, recovery, pre-processing, co-processing, utilisation, offering for sale, transfer or disposal of the hazardous and other waste, as the case may be, and after ensuring technical capabilities and equipment complying with the standard operating procedure or other guidelines specified by the Central Pollution Control Board from time to time and through site inspection, grant within a period of one hundred and twenty days, an authorisation in Form 2 to the applicant, which shall be valid for a period of five years subject to such conditions as may be laid down therein. For commonly recyclable hazardous waste as given in Schedule IV, the guidelines already prepared by the Central Pollution Control Board shall be followed:

Provided that in the case of an application for renewal of authorisation, the State Pollution Control Board may, before granting such authorisation, satisfy itself that there has been no violation of the conditions specified in the authorisation earlier granted by it and same shall be recorded in the inspection report.

(3) The authorisation granted by the State Pollution Control Board under sub-rule (2) shall be accompanied by a copy of the field inspection report signed by that Board indicating the adequacy of facilities for collection, storage, packaging, transportation, treatment, processing, use, destruction, recycling, recovery, pre-processing, co-processing, utilisation, offering for sale, transfer or disposal of the hazardous and other wastes and compliance to the guidelines or standard operating procedures specified by the Central Pollution Control Board from time to time.

**\* Fees not applicable for authorisation**

After logging into the system, applicant shall select the Form-I for authorization as shown below:



महाराष्ट्र  
महाराष्ट्र प्रदूषण नियंत्रण मंडळ

Industries

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## Form 1

See rules 6(1) of Hazardous & Other Wastes Rules 2016

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**APPLICATION REQUIRED FOR GRANT/RENEWAL OF AUTHORIZATION FOR GENERATION OR COLLECTION OR STORAGE OR TRANSPORT OR RECEPTION OR RECYCLING OR REUSE OR RECOVERY OR PRE-PROCESSING OR CO-PROCESSING OR UTILIZATION OR TREATMENT OR DISPOSAL OF HAZARDOUS AND OTHER WASTE**

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\* Submit to :

From :

**Part A: General (to be filled by all)**

**1.(a) Name and address of the unit and location of facility**

Name of the unit	Address of the unit	Location of the facility
<input type="text"/>	<input type="text"/>	<input type="text"/>

**1.(b) Name of the occupier of the facility or operator of disposal facility with designation, Telephone, Fax and email.**

Name of the occupier	Designation of the occupier	Telephone number
<input type="text"/>	<input type="text"/>	<input type="text"/>
Fax number	Email	
<input type="text"/>	<input type="text"/>	

**1.(c). Authorisation required for (Please tick mark appropriate activity/activities)**

<input type="checkbox"/> Collection	<input type="checkbox"/> Reception	<input type="checkbox"/> Treatment
<input type="checkbox"/> Transportation	<input type="checkbox"/> Storage	<input type="checkbox"/> Disposal
<input type="checkbox"/> Generation	<input type="checkbox"/> Reuse	<input type="checkbox"/> Recycling
<input type="checkbox"/> Recovery	<input type="checkbox"/> Pre-processing	<input type="checkbox"/> Co-processing
<input type="checkbox"/> Utilization	<input type="checkbox"/> Incineration	

**1.(d) Applying for renewal or authorization**

Authorization    Renewal

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**In case of renewal of authorisation previous authorisation number and date**

Authorisation number	Authorisation date
<input type="text"/>	<input type="text" value=""/>

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**2.(a) Nature and quantity of waste handled per anum.(In metric tonne or kilo litre)**

Nature of waste	Quantity of wastes	Unit
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text"/>	<input type="text"/>	<input type="text" value="KL/ anum"/>
<a href="#" style="background-color: #007bff; color: white; padding: 2px 5px; border-radius: 3px;">Add More</a>		
<b>2.(b) Nature and quantity of waste stored at any time.(In metric tonne or kilo litre)</b>		
Nature of waste	Quantity of wastes	Unit
<input type="text"/>	<input type="text"/>	<input type="text" value="KL/ anum"/>
<a href="#" style="background-color: #007bff; color: white; padding: 2px 5px; border-radius: 3px;">Add More</a>		
<b>3.(a) Total capital invested on the project (in Lakhs)</b>		
<input type="text"/>		
<b>3.(b) Year of commencement of production</b>		
<input type="text"/>		
<b>3.(c) Whether the industry works in general/2 shifts/round the clock</b>		
<input checked="" type="radio"/> General <input type="radio"/> 2 Shifts <input type="radio"/> Round the clock		
<b>4. Provide Copy of the Emergency Plan(ERP) Which should address procedures for dealing with emergency situations (viz. Spillage or release or fire) as specified in the guidelines of Central pollution control board.Such ERP shall comprise the following,but not limited to:</b>		
Containing and controlling incidents so as to minimise the effects and to limit danger to the persons,environment and property.		
Implementing the measures necessary to protect persons and the environment		
Description of the actions which should be taken to control the conditions at events and to limit their consequences,including a description of the safety		

**4. Provide Copy of the Emergency Plan(ERP) Which should address procedures for dealing with emergency situations (viz. Spillage or release or fire) as specified in the guidelines of Central pollution control board.Such ERP shall comprise the following,but not limited to:**

Containing and controlling incidents so as to minimise the effects and to limit danger to the persons,environment and property.

Implementing the measures necessary to protect persons and the environment

Description of the actions which should be taken to control the conditions at events and to limit their consequences,including a description of the safety equipment and resources available.

Arrangements for training staff in the duties which they are expected to perform.

Arrangements for informing concerned authorities and emergency services and

Arrangements for providing assistance with offsite assistance with off-site mitigatory action.

Upload ERP -  No file chosen

**5. Provide Undertaking or declaration to comply with all provisions including the scope of submitting bank guarantee in the event of spillage,leakage or fire while handling the hazardous and othe waste**

No file chosen

**Part B: To be filled by Hazardous waste generators**

**1. (a) Products and by-products manufactured (names and product wise quantity per annum):**

Product Name	Quantity	Unit
<input type="text"/>	<input type="text"/>	<input type="text" value="KL/ anum"/>
<a href="#" style="background-color: #007bff; color: white; padding: 2px 5px; border-radius: 3px;">Add More</a>		
By-product Name	Quantity	Unit
<input type="text"/>	<input type="text"/>	<input type="text" value="KL/ anum"/>

1. (b) Process description including process flow sheet indicating inputs and outputs (raw materials, chemicals, products, by-products, wastes, emissions, waste water etc.) Please attach separate sheets:

No file chosen

**1. (c) Characteristics(waste-wise) and quantity** of waste generation per annum

Type of hazardous wastes generated as defined under these rules	Quantum of hazardous waste generated	Unit	Capacity and mode of secured storage within the plant	Utilization within the plant (provide details)	If not utilized within the plant, please provide details of what is done with this waste	Arrangements for transportation to actual users/TSDF
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Select hazardc		KL/anum				
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1. (d) Details of the environmental safeguards and environmental facilities provided for safe handling of all the wastes

Attach details (If any) :  No file chosen

2. Hazardous and other wastes generated as per these rules from storage of hazardous chemicals as defined under the Manufacturer, Storage and Import of Hazardous Chemicals Rules, 1989

Type of hazardous wastes generated as defined under these rules	Quantum of hazardous waste generated	Unit	Capacity and mode of secured storage within the plant	Utilization within the plant (provide details)	If not utilized within the plant, please provide details of what is done with this waste	Arrangements for transportation to actual users/TSDF
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Select hazardc		KL/anum				
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**Part C: To be filled by Treatment, storage and disposal facility operators**

i) Location of site with layout map

No file chosen

ii) Safe storage of the waste and storage capacity

iii) The treatment processes and their capabilities

No file chosen

iv) Secured landfills

No file chosen

vii) Site clearance (from local authority, if any)

No  Yes  No file chosen

viii) Fire fighting systems

ix) Environmental management plan including monitoring

No file chosen

x) Arrangement for transportation of waste from generators.

No file chosen

2) Provide details of any other activities undertaken at the Treatment, storage and disposal facility site.

No file chosen

Attach a copy of prior Environmental Clearance

No file chosen

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**Part D: To be filled by recyclers or pre-processors or co-processors or users of hazardous or other waste**

1) Nature and quantity of different wastes received per annum from domestic sources or imported or both:

Nature of Waste	Quantity of Waste	Unit
<input type="text"/>	<input type="text"/>	KL/annum <input type="button" value="x"/>

2) Installed capacity as per registration issued by the District Industries Centre or any other authorized government agency. Provide copy.

No file chosen

3) Provide details of secured storage of wastes including the storage capacity.

4) Process details including process flow sheet indicating equipment detail, inputs and outputs (input wastes, chemicals, products, by-products, waste generated, emissions, waste water, etc.). Attach details

No file chosen

5) Provide details of end users of products or by-products.


6) Provide details of pollution control systems such as Effluent treatment plant, scrubbers, etc. including mode of disposal of waste




9 ) Arrangements for transportation of waste to the facility.

\_\_\_\_\_

Place  
\_\_\_\_\_

Date  
\_\_\_\_\_ 

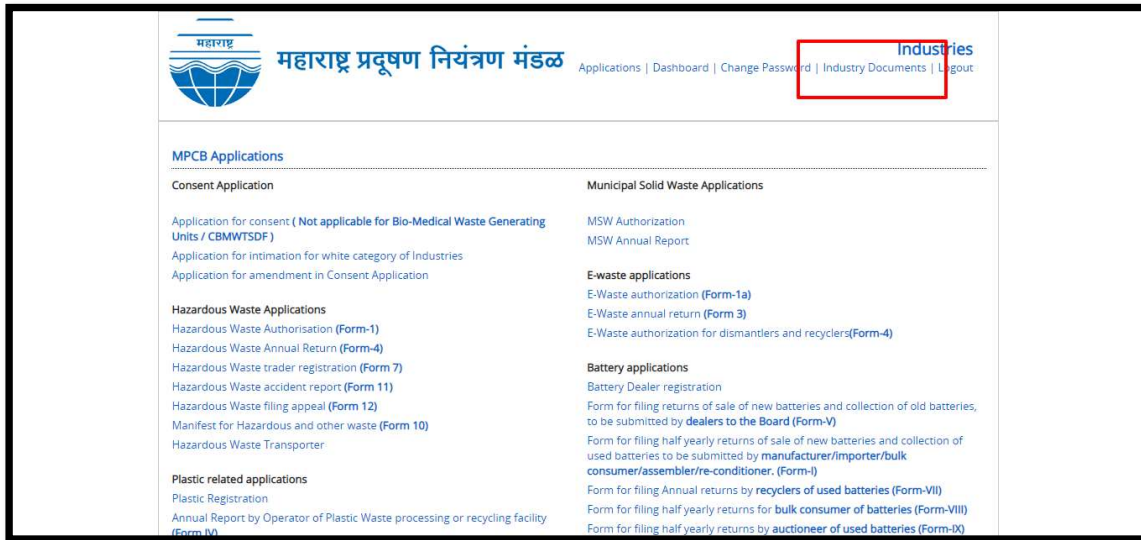
Designation  
\_\_\_\_\_

Capcha:  


Enter the code above here :  
\_\_\_\_\_

I accept the [Terms & Conditions](#)

In case of any discrepancy, MPCB shall communicate the same through the industry documents section, hence applicants are advised to periodically check their Industry Documents section as shown below:



The screenshot shows the MPCB website interface. At the top, there is a logo for Maharashtra and the text 'महाराष्ट्र प्रदूषण नियंत्रण मंडळ'. To the right, there is a navigation menu with 'Industry Documents' highlighted by a red box. Below the header, there is a section titled 'MPCB Applications' which is divided into several categories: 'Consent Application', 'Hazardous Waste Applications', 'Plastic related applications', 'Municipal Solid Waste Applications', 'E-waste applications', and 'Battery applications'. Each category lists various forms and reports that can be accessed or submitted through the website.

Documents Available					
Sr No.	Document Name	Document Category	Document By	Date	Action
1	test	Visit Report	User	03-02-2016	<a href="#">Download</a>   <a href="#">Message</a>
2	Test	Visit Report	User	01-05-2018	<a href="#">Download</a>   <a href="#">Message</a>
3	Visit Report	Visit Report	Mpcb-admin	01-06-2017	<a href="#">Download</a>   <a href="#">Message</a>
4	MPCB_IT_Webfiltering Warning Notice		User	02-10-2018	<a href="#">Download</a>   <a href="#">Message</a>
5	MPCBNotAllowed	Visit Report	User	27-06-2017	<a href="#">Download</a>   <a href="#">Message</a>
6	admin	PAN Card copy of Industry	User	26-07-2019	<a href="#">Download</a>   <a href="#">Message</a>
7	test	Legal Direction	User	25-04-2018	<a href="#">Download</a>   <a href="#">Message</a>

Once the Authorization is issued / cancelled, the status alongwith copy of letter, will be reflected in the Industry's Login ID and on MPCB's website, which is shown below:



**महाराष्ट्र**  
**महाराष्ट्र प्रदूषण नियंत्रण मंडळ**

**Industries**  
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**My Applications**

Sr No.	UAN No.	Application Type	Application Date	Status	Action
1	MPCB-HW_AUTH-0000000200 <a href="#">Download certificate</a>	HAZARDOUS WASTE AUTHORIZARION	05-03-2017	<span style="background-color: green; color: white; padding: 2px 5px;">Approved</span>	<a href="#">Download</a>

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Web enabled by Web Werks.  
Portal support Helpline number - 7045113344 / 7045113322

For technical difficulties related to online portal, contact portal support at [portalsupport@mpcb.gov.in](mailto:portalsupport@mpcb.gov.in).

Sr. No.	Activity	Activity Description	Responsibility
1	Industry registraion & submission of Authorization application	<p><b>Online registration</b></p> <p><b>A. For new user</b></p> <ul style="list-style-type: none"> <li>For new industry online registration is required for submission of all applications through website <a href="http://www.mpcb.gov.in">www.mpcb.gov.in</a></li> </ul> <p><b>B. For existing users</b></p> <ul style="list-style-type: none"> <li>Can use existing user id &amp; password.</li> <li>Then all applications are re-directed to MPCB portal then industry shall submit the Authorization application</li> <li><b>Fees Not applicable for Recycler/re-processor Authorization</b></li> </ul>	Industry
2	Action by FO/SRO	<ul style="list-style-type: none"> <li>After receipt of Authorization application concern SRO will forward the same to Field Officer.</li> <li>FO will review the application &amp; put up their remarks and submit to SRO for grant / refuse the application along with field inspection report.</li> <li>SRO will submit the application to <b>RO (HQ) HSMD Division</b> for onwards discussion &amp; decision in respect of grant / refuse the application.</li> <li>If HOD seek any clarification/information, they call from concern SRO.</li> </ul>	SRO& FO
3	Action by FO/HOD	<ul style="list-style-type: none"> <li>After receipt of application from SRO office to HOD, the application will be forwarded to concern FO/SRO as per work distribution.</li> <li>FO/SRO will review the application &amp; put up their remarks and submit to in charge HOD for finalization of agenda item to Authorization Committee.</li> <li>The finalised agenda items are placed before Authorization Committee for further discussion &amp; decision in respect of grant / refuse the application.</li> </ul>	HOD/SRO/FO (HQ)
4	Action by Authorization Committee for Environmental Sound Management of Hazardous & Other Wastes and E-Waste	<ul style="list-style-type: none"> <li>Board has constituted the Technical Authorization Committee for Environmental Sound Management of Hazardous &amp; Other Wastes and E-Waste.</li> <li>The industry called before the Authorization Committee for technical discussion.</li> <li>The technical committee take the decision as per environment sound technology provided by industry with respect of grant / refuse the application authorisation.</li> <li>If Authorization Committee seek any clarification/information they call from concern SRO</li> </ul>	Authorization Committee
5	Grant / Refusal of Authorization	<ul style="list-style-type: none"> <li>All Authorization will be signed by HOD after approval of Hon'ble Member Secretary.</li> <li>Approved Committee minutes are hosted on Board website.</li> <li>The system generated outward number will be assigned on the authorization application.</li> <li>After the physical sign and putting outward number the scanned copies will be uploaded on the portal and automatically system generated copy is sent to concern industry which is also available on Board's website.</li> <li>As per the Act, the time limit permitted for grant / refusal of Authorization at delegation power is 120 days.</li> </ul>	HOD